

# Eigenvalue distribution of families of regular graphs

A Thesis

submitted to

Indian Institute of Science Education and Research Pune  
in partial fulfillment of the requirements for the  
BS-MS Dual Degree Programme

by

A. Dileep Kumar



Indian Institute of Science Education and Research Pune  
Dr. Homi Bhabha Road,  
Pashan, Pune 411008, INDIA.

April, 2017

Supervisor: Dr. Kaneenika Sinha

© A. Dileep Kumar 2017

All rights reserved

# Certificate

This is to certify that this dissertation titled "Eigenvalue distribution of families of regular graphs" towards the partial fulfilment of the BS-MS dual degree programme at the Indian Institute of Science Education and Research, Pune represents study/work carried out by A. Dileep Kumar at Indian Institute of Science Education and Research under the supervision of Dr. Kaneenika Sinha, Assistant Professor, Department of Mathematics, during the academic year 2016-2017.



Dr. Kaneenika Sinha

Committee:

Dr. Kaneenika Sinha

Dr. Chandrasheel Bhagwat



This thesis is dedicated to all nice and genuine people



# Declaration

I hereby declare that the matter embodied in the report entitled “ Eigenvalue distribution of families of regular graphs ”, is the result of the work carried out by me at the Department of Mathematics, Indian Institute of Science Education and Research (IISER) Pune, under the supervision of Dr. Kanecnika Sinha and the same has not been submitted elsewhere for any other degree.



A. Dileep Kumar





# Acknowledgments

I would like to thank my supervisor Dr. Kaneenika Sinha for the constant and patient guidance throughout my project. I have been really lucky to have a supervisor who wants me to do well, not just in my project but in my career too. Her advice about the project and otherwise has been very valuable for me. I would also like to thank Dr. Chandrasheel Bhagwat for his suggestions regarding my project during our interactions. I would like to thank my fellow (mathematician) batchmates for always being willing to discuss any mathematical questions or doubts I had. And finally, I would like to thank IISER Pune for providing me with an excellent atmosphere for doing mathematics.



# Abstract

This thesis presents an exposition of a result of Serre about the asymptotic distribution of eigenvalues of families of regular graphs. This result is part of a paper published by Serre in 1997 titled “the equidistribution of eigenvalues of Hecke operators”. Then, we discuss a specific example of a family of Ramanujan graphs given by Lubotzky, Phillips and Sarnak in their 1988 paper on Ramanujan graphs, and calculate this limiting distribution measure of the eigenvalues of that family using Serre’s result. We also give an alternate way of computing the measure using a result published by B.D.McKay in 1981 about the limiting distribution measure of the eigenvalues of a family of regular graphs satisfying certain properties. We then discuss a similar result for a family of cycle graphs.



# Contents

<b>Abstract</b>	<b>xi</b>
<b>1 Equidistribution Theory</b>	<b>1</b>
<b>2 Basic Notions in Graph theory</b>	<b>7</b>
<b>3 Outline of the thesis</b>	<b>9</b>
3.1 Eigenvalue distribution of $k$ -regular graphs . . . . .	9
3.2 A more general theorem . . . . .	9
3.3 Finding families that satisfy Serre's condition and computing their measure .	11
<b>4 Distribution of eigenvalues</b>	<b>13</b>
4.1 Regular graphs of degree $q + 1$ . . . . .	13
4.2 The operators $T$ and $\Theta_r$ . . . . .	16
4.3 Equidistribution of eigenvalues of $T'$ . . . . .	24
<b>5 Ramanujan Graphs</b>	<b>31</b>
5.1 Cayley Graphs . . . . .	31
5.2 Lubotzky-Phillips-Sarnak's Construction of Ramanujan Graphs . . . . .	32
5.3 Asymptotic eigenvalue distribution in a family of $X_{n,k}$ 's . . . . .	34

5.4	Alternate proofs of Proposition 4.3 . . . . .	35
5.5	Other regular graphs: Cycle graph . . . . .	38
<b>6</b>	<b>Conclusion</b>	<b>39</b>

# Chapter 1

## Equidistribution Theory

Let  $x$  be a real number. Denote by  $[x]$  the greatest integer less than or equal to  $x$ ; let  $\{x\}$  be the fractional part of  $x$ . Consider a sequence  $w = (x_n)_{n \geq 1}$  of real numbers.

Let  $I$  be the unit interval  $[0, 1)$ . For a fixed positive integer  $N$  and a subset  $E \subset I$ . We define the counting function  $A(E, N, w)$  as:

$$A(E, N, w) = \#\{1 \leq n \leq N : x_n \in E\}$$

**Definition 1.1.** (*Uniform distribution mod 1*). The sequence  $w = (x_n)_{n \geq 1}$  is said to be uniformly distributed modulo 1 if for all  $a$  and  $b$  satisfying  $0 \leq a < b < 1$ , we have

$$\lim_{N \rightarrow \infty} \frac{A([a, b); N; w)}{N} = b - a. \quad (1.1)$$

Define the characteristic function of  $[a, b)$  as follows:

$$c_{[a,b)}(x) = \begin{cases} 1 & \text{for } x \in [a, b) \\ 0 & \text{otherwise.} \end{cases}$$

The above equation can also be written in terms of  $c_{[a,b)}$ .

$$\lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N c_{[a,b)}(x_n) = \int_0^1 c_{[a,b)}(x) dx. \quad (1.2)$$

This equation can further be extended to all real valued continuous functions on  $I$ .

**Theorem 1.1.** *The sequence  $(x_n)_{n \geq 1}$ , of real numbers is u.d. mod 1 iff for every real valued continuous function  $f$  defined on  $[0, 1]$ , we have:*

$$\lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N f(\{x_n\}) = \int_0^1 f(x) dx. \quad (1.3)$$

**Proof.** Form (1.2), the theorem holds true for all step functions on  $I$  of the form  $f(x) = \sum_{i=0}^{k-1} d_i C_{[a_i, a_{i+1})}(x)$  where  $0 < a_0 < a_1 < \dots < a_k = 1$ . Now consider a continuous function  $f$  defined on  $I$ . For any  $\epsilon > 0$ , we can find two step functions  $f_1$  and  $f_2$  such that  $f_1(x) \leq f(x) \leq f_2(x)$  for all  $x \in I$  and  $\int_0^1 (f_2(x) - f_1(x)) dx \leq \epsilon$  (as  $f$  is Riemann integrable). Now,

$$\begin{aligned} \int_0^1 f(x) dx - \epsilon &\leq \int_0^1 f(x) - (f_2(x) - f_1(x)) dx \leq \int_0^1 f_1(x) dx \\ &= \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N f_1(\{x_n\}) \quad (\text{as } f_1 \text{ is a step function}) \\ &\leq \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N f(\{x_n\}) \\ &\leq \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N f_2(\{x_n\}) \\ &\leq \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N f_2(\{x_n\}) \\ &= \int_0^1 f_2(x) dx \\ &= \int_0^1 (f_2(x) - f(x)) dx + \int_0^1 f(x) dx \\ &\leq \int_0^1 f(x) dx + \epsilon \end{aligned}$$

As  $\epsilon$  is arbitrary,

$$\int_0^1 f(x) dx = \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N f(\{x_n\})$$



For the converse, we need to show that for a sequence  $(x_n)$ , if (1.3) holds for all continuous functions on  $I$ , then  $(x_n)$  is u.d. mod 1. Consider an interval  $[a, b] \subset I$ . Given any  $\epsilon > 0$ , there exist two continuous functions  $g_1$  and  $g_2$  such that  $g_1(x) \leq c_{[a,b]} \leq g_2(x) < \epsilon$  for  $x \in I$  with the property that  $\int_0^1 (g_2(x) - g_1(x)) dx$ .

$$\begin{aligned}
b - a - \epsilon &= \int_0^1 c_{[a,b]} dx - \epsilon \leq \int_0^1 g_2(x) dx - \epsilon \\
&\leq \int_0^1 g_1(x) dx = \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N g_1(\{x_n\}) \\
&\leq \underline{\lim}_{N \rightarrow \infty} \frac{A([a, b]; N)}{N} \\
&\leq \overline{\lim}_{N \rightarrow \infty} \frac{A([a, b]; N)}{N} \\
&\leq \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N g_2(\{x_n\}) \\
&= \int_0^1 g_2(x) dx \leq \int_0^1 g_1(x) dx + \epsilon \leq b - a + \epsilon
\end{aligned}$$

□

**Theorem 1.2.** *The sequence  $(x_n)_{n \geq 1}$  is u.d. mod 1 iff for every complex-valued continuous function  $f$  on  $\mathbb{R}$  with period 1 we have*

$$\lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N f(x_n) = \int_0^1 f(x) dx. \tag{1.4}$$

**Proof.** Suppose the sequence  $(x_n)$  is u.d. mod 1. Consider a complex valued continuous function  $f$ . Apply Theorem 3.1 on the real and imaginary part of  $f$  separately to get,

$$\lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N f(\{x_n\}) = \int_0^1 f(x) dx$$

But  $f$  has a period 1, so  $f(\{x_n\}) = f(x_n)$ . So we have

$$\lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N f(x_n) = \int_0^1 f(x) dx$$

For the converse, assume that (3.4) is true for all complex valued continuous functions with period 1. In the proof of the converse of Theorem 1.1, while choosing  $g_1$  and  $g_2$ , put an additional condition that  $g_1(0) = g_1(1)$  and  $g_2(0) = g_2(1)$ . Then the definitions of  $g_1$  and  $g_2$  can be extended periodically to  $\mathbb{R}$  and so (3.4) is satisfied by  $g_1$  and  $g_2$ . Now use the same argument as in the proof for the converse of Theorem 2.1.  $\square$

**Theorem 1.3** (Weyl's criterion). *The sequence  $(x)_n$  is u.d. mod 1 iff*

$$\lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N e^{2\pi i h x_n} = 0 \text{ for all integers } h \neq 0. \quad (1.5)$$

**Proof.** Suppose a sequence  $(x)_n$  is u.d. mod 1. Then take  $f(x) = e^{2\pi i h x}$  in Theorem 3.2 to get

$$\lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N e^{2\pi i h x_n} = \int_0^1 e^{2\pi i h x} dx = 0.$$

For the converse, suppose  $(x_n)$  satisfies (3.5). Let  $f$  be a complex valued continuous function with period 1. If we can show that Theorem 3.2 holds for  $f$ , then we're done. Let  $\epsilon$  be an arbitrary positive number. By Weierstrass approximation theorem, there exists a trigonometric polynomial  $g(x)$  (i.e. linear combination of function of the form  $e^{2\pi i h x}$ ,  $h \in \mathbb{Z}$ ) satisfying

$$\sup_{0 \leq x \leq 1} |f(x) - g(x)| \leq \epsilon. \quad (1.6)$$

$$\begin{aligned} \left| \int_0^1 f(x) dx - \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N f(x_n) \right| &\leq \left| \int_0^1 (f(x) - g(x)) dx \right| + \left| \int_0^1 g(x) dx - \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N f(x_n) \right| \\ &\leq \left| \int_0^1 (f(x) - g(x)) dx \right| + \left| \int_0^1 g(x) dx - \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N g(x_n) \right| \\ &\quad + \left| \frac{1}{N} \sum_{n=1}^N (f(x_n) - g(x_n)) \right| \end{aligned}$$

The first term and third term are less than  $\epsilon$  (as  $\sup_{0 \leq x \leq 1} |f(x) - g(x)| \leq \epsilon$ ).

Now,  $\lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N e^{2\pi i h x_n} = 0$ . So if we take  $N$  large enough, we will have

$|\frac{1}{N} \sum_{n=1}^N e^{2\pi i h x_n}| \leq$  any arbitrarily small number. By choosing this “arbitrarily small number” suitably, we can show that the second term is less than  $\epsilon$ . So, theorem 3.2 holds for  $f$  and  $(x_n)$  is u.d. mod 1.  $\square$

Till now, we have looked at sequences which are uniformly distributed with respect to the Lebesgue measure. But, it could be uniformly distributed with respect to a more general measure.

**Definition 1.2.** *We say that a sequence  $(x_n), n = 1, 2, \dots$  is  $\mu$ -equidistributed if*

$$\lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N f(x_n) = \int_0^1 f(x) d\mu \tag{1.7}$$

We also refer to  $\mu(x)$  as the limiting probability density function of  $(x_n)$ .

In this report, we will look at a sequence of families. The below definition is a version of the equidistribution criterion for a sequence of families.

**Definition 1.3.** *Consider a sequence of families  $(x_\lambda)_{\lambda \geq 1}$ . Denote by  $|x_\lambda|$  the number of elements in the family  $x_\lambda$ . We assume that  $|x_\lambda| \rightarrow \infty$  as  $\lambda \rightarrow \infty$ . We say that the sequence  $(x_\lambda)_{\lambda \geq 1}$  is  $\mu$ -equidistributed if*

$$\lim_{\lambda \rightarrow \infty} \frac{1}{|x_\lambda|} \sum_{n=1}^{|x_\lambda|} f(x_n) = \int_0^1 f(x) d\mu \tag{1.8}$$



# Chapter 2

## Basic Notions in Graph theory

**Definition 2.1.** A graph  $G$  is a pair  $(V, E)$ , where  $V$  is the set of vertices and  $E \subseteq V \times V$  along with two functions:

(i) “origin”,  $o : E \rightarrow V$  defined as follows: for  $y = (a, b) \in E$ ,  $o(y) = a$ .

(ii) “inverse”,  $E \rightarrow E$  defined as follows:

$$y \mapsto \bar{y} \text{ where, for } y = (a, b), \bar{y} := (b, a)$$

We define  $|G|$  = number of vertices of  $G$ .

**Definition 2.2.** We say that  $G$  is a regular graph of degree  $k$  if for every  $x \in V$ , the set of edges with origin  $x$  has  $k$  elements.

**Definition 2.3.** A walk of length  $r \geq 1$  is an alternating sequence of vertices and edges,  $\{v_1, e_1, v_2, e_2, \dots, e_r, v_{r+1}\}$ . The origin of a walk is taken to be the first vertex, that is  $v_1$ . A walk is said to be closed if  $v_1 = v_{r+1}$ . A walk is said to be “without back-tracking” if  $e_{i+1} \neq \bar{e}_i$  for  $1 \leq i \leq r$ .

**Definition 2.4.** A trail is a walk in which all edges are distinct. A path is a trail in which all vertices are distinct (except possibly the start and end vertices). A cycle is a closed path. An  $r$ -cycle is a cycle of length  $r$ . The length of the smallest cycle is called the girth of the graph.

**Definition 2.5.** A circuit is a closed walk without back-tracking and  $e_r \neq \bar{e}_1$ . An  $r$ -circuit is a circuit of length  $r$ . Notice that a cycle is a circuit but not vice-versa.

**Definition 2.6.** An acyclic graph is a graph with no cycles.

**Definition 2.7.** Let  $A \subseteq V$ . The subgraph induced by  $A \subset V$  is the set of vertices in  $A$  together with the set of edges whose endpoints are both in  $A$ .

**Definition 2.8.** The adjacency matrix of a graph is defined as  $[a_{ij}]_{|G| \times |G|}$ , where  $a_{ij}$  is the number of edges between  $v_i$  and  $v_j$ . The eigenvalues of this matrix are taken to be the eigenvalues of the graph.

**Definition 2.9.** Chebyshev polynomials: Let  $\Omega = [-2, 2]$ . If  $x \in \Omega$ , we can write  $x$  uniquely in the form

$$x = 2 \cos \phi, \quad 0 \leq \phi \leq \pi$$

If  $r$  is an integer  $\geq 0$ , we define:

$$X_r(x) = e^{in\phi} + e^{i(n-2)\phi} + \dots + e^{-in\phi} = \frac{\sin(r+1)\phi}{\sin \phi}$$

$X_r(x)$  is called the  $r$ -th Chebyshev polynomial.  $X_n$ s are polynomials in  $x$ :

$$X_0(x) = 1, X_1(x) = x, X_2(x) = x^2 - 1, X_3(x) = x^3 - 2x, \dots$$

Define  $Y_{n,q} = X_n - \frac{1}{q}X_{n-2}$  (assuming that  $X_m(x) = 0$  if  $m < 0$ .)

**Remark 1.**  $X_n$ 's form a basis of the set of all polynomials on  $\Omega$ .

# Chapter 3

## Outline of the thesis

This chapter contains some of the important theorems which I have studied. The proofs of these theorems are presented in later sections.

### 3.1 Eigenvalue distribution of $k$ -regular graphs

In 1981, BD McKay [5] proved the following result about the asymptotic distribution of eigenvalues of a certain family of  $k$ -regular graphs.

**Theorem 3.1.** *Consider a sequence of  $k$ -regular graphs  $E_\lambda$ 's such that  $|E_\lambda| \rightarrow \infty$  as  $n \rightarrow \infty$ . Let  $C_{r,\lambda}$  be the number of  $r$ -cycles in  $E_\lambda$ .*

*If  $\lim_{n \rightarrow \infty} C_{r,n}/|E_\lambda| = 0 \forall r \geq 3$ , then the limiting probability density function (or in other words, the distribution measure) of the eigenvalues of  $E_\lambda$  is given by:*

$$f(x) = \begin{cases} k \frac{\sqrt{4(k-1) - x^2}}{2\pi(k^2 - x^2)} & \text{for } |x| \leq 2\sqrt{k-1} \\ 0 & \text{otherwise.} \end{cases} \quad (3.1)$$

### 3.2 A more general theorem

Serre, in [7] proved a far more general result. He proved the following:

**Theorem 3.2.** Consider a family of  $k$ -regular graphs  $(E_\lambda)$  for which  $|E_\lambda| \rightarrow \infty$  as  $\lambda \rightarrow \infty$ . Let  $c_{r,\lambda}$  be the number of  $r$ -circuits in  $E_\lambda$  and  $(x_\lambda)$  be the family of eigenvalues of  $E_\lambda$ . Let  $k = q + 1$ .

1) The following two properties are equivalent:

(i) There exists a measure  $\mu$  on  $\Omega_q = [-(q^{1/2} + q^{-1/2}), +(q^{1/2} + q^{-1/2})]$  such that  $(x_\lambda)$  are  $\mu$ -equidistributed.

(ii)  $\forall r \geq 1$ ,  $c_{r,\lambda}/|E_\lambda|$  has a limit when  $\lambda \rightarrow \infty$ .

2) Suppose (i) and (ii) are satisfied, and let:

$$\gamma_r = \lim_{\lambda \rightarrow \infty} c_{r,\lambda}/|E_\lambda|, \text{ for } r = 1, 2, \dots \quad (3.2)$$

then we have  $\mu = \mu_q + \nu$ , where  $\mu_q$  is a measure on  $\Omega = [-2, 2]$  defined as

$$\mu_q := \frac{(q+1)}{\pi[(q^{1/2} + q^{-1/2})^2 - x^2]} \sqrt{1 - \frac{x^2}{4}}$$

and  $\nu$  is a measure on  $\Omega_q$ , characterised by:

$$\int_{\Omega_q} Y_{r,1}(x)\nu(x)dx = \begin{cases} 0, & \text{if } r = 0 \\ \gamma_r q^{-r/2} & \text{if } r > 0 \end{cases}$$

where  $Y_{r,1} = X_r - X_{r-2}$  and  $X_r$ 's are Chebyshev polynomials (see Definition 2.9).

*Note.* From here on, we will denote  $Y_{r,1}$  by  $Y_r$ .

The above theorem provides a recipe to compute the distribution measure<sup>1</sup> for any family of graphs in which the limits  $\lim_{\lambda \rightarrow \infty} c_{r,\lambda}/|E_\lambda|$  exist whereas B.D.McKay looked at sequences for which  $\lim_{\lambda \rightarrow \infty} C_{r,\lambda}/|E_\lambda| = 0$ .

We present the proof of this theorem in Section 4.

---

<sup>1</sup>By computing the distribution measure, we mean the asymptotic distribution measure of the family of regular graphs considered.



### 3.3 Finding families that satisfy Serre's condition and computing their measure

Once we understand Serre's result, it is natural to look for families of  $k$ -regular graphs and compute the distribution measures for them. We look at a type of Ramanujan graphs defined by Lubotzky, Phillips and Sarnak in their paper on Ramanujan graphs [4]. They compute the asymptotic distribution measure for any family of  $k$ -regular graphs,  $X_{n,k}$ 's for which the girth  $g_{X_{n,k}}$  also tends to infinity as  $n \rightarrow \infty$ . This is relevant to the family of Ramanujan graphs which they consider as they show in [4] that the girth of that family tends to infinity as  $n \rightarrow \infty$ .

Consider a sequence of  $k$ -regular graphs ( $X_{n,k}$ ) for which  $n \rightarrow \infty$  and the girth of  $X_{n,k}$ ,  $g_{X_{n,k}} \rightarrow \infty$  as  $n \rightarrow \infty$ . Associate with each graph in the family a measure  $\mu_{X_{n,k}}$  supported on  $[-k, k]$  which puts point masses  $1/n$  at each of its eigenvalues. They prove the following:

**Theorem 3.3** (Prop 4.3, [4]).

$$\lim_{\substack{n \rightarrow \infty \\ g_{X_{n,k}} \rightarrow \infty}} \mu_{X_{n,k}} = \mu_k$$

where

$$d\mu_k(t) = \begin{cases} \frac{\sqrt{k-1-t^2/4}}{\pi k(1-(t/k)^2)} dt & \text{if } |t| \leq 2\sqrt{k-1} \\ 0 & \text{otherwise.} \end{cases} \quad (3.3)$$

We have proved the above theorem using both Serre's and B.D.McKay's result.

We then show that for a family of cycle graphs ( $C_n$ ) such that  $|C_n| \rightarrow \infty$  as  $n \rightarrow \infty$ , the limiting distribution measure for the eigenvalues is given by:

$$\mu(t) = \begin{cases} \frac{1}{\pi\sqrt{4-t^2}} dt & \text{if } |t| \leq 2 \\ 0 & \text{otherwise.} \end{cases}$$

Details are presented in section 5.



# Chapter 4

## Distribution of eigenvalues

### 4.1 Regular graphs of degree $q + 1$

In the following,  $q$  is a fixed integer  $\geq 1$ .

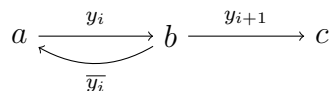
All the graphs considered are finite regular graphs of degree  $q + 1$ . These graphs need not be simple graphs, that is, they may contain loops and multiple edges. They also need not be connected.

We quickly review the notions of walks and circuits.

*Walks and circuits.*  $r$  is an integer  $\geq 1$ . A walk of length  $r$  is a sequence in  $E$ ,  $y = (y_1, y_2, \dots, y_r)$  consisting of  $r$  edges such that  $t(y_i) = o(y_{i+1})$  for  $1 \leq i \leq r$ . We define  $o(y) = o(y_1)$ ,  $t(y) = t(y_r)$ .

A walk is closed if its origin and tail are the same i.e.  $o(y) = t(y)$ .

A walk is said to be “without back-tracking” if  $y_{i+1} \neq \bar{y}_i$  for  $1 \leq i < r$ .



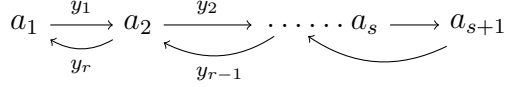
We say that a walk  $\mathbf{y}$  is a circuit if:

- (i) it is closed
- (ii) without back-tracking” and

(iii) if  $y_r \neq \overline{y_1}$

((ii) and (iii) can be combined to have just one condition: if  $y_{i+1} \neq \overline{y_i} \forall i \in \mathbb{Z}/r\mathbb{Z}$ .)

This is how it would look like if  $y_r = \overline{y_1}$  (for some  $1 < s < r$ ):



$y \cdot y'$  consists of two walks  $y$  and  $y'$  such that  $t(y) = o(y')$ . It is defined as follows:

Assume that there is an edge  $y$  between  $a$  and  $b$  and an edge  $y'$  between  $b$  and  $c$ .

$$a \xrightarrow{y} b \xrightarrow{y'} c$$

Then  $y \cdot y'$  looks like,  $y \cdot y'$  :

$$a \longrightarrow b \longrightarrow c$$

In particular, we can talk about powers  $z^s$  ( $s = 1, 2, \dots$ ) of a closed walk  $z$ . A circuit  $y$  is said to be primitive if it is not equal to any of the powers  $z^s$ , with  $s > 1$ , where  $z$  is a circuit.

**Lemma 4.1.** *Any circuit can be written uniquely as a power of a primitive circuit.*

**Proof.** Suppose  $y$  is not a primitive circuit. Then, it follows from the definition of primitive circuits that  $y = z^s$  where  $z$  is a closed circuit. Without loss of generality, we can assume that  $z$  is primitive. So, we are done. And if  $y$  is a primitive circuit, just take  $y = z$ .

*Uniqueness:* If  $y$  is a primitive circuit. Say  $y = z^s$  where  $z$  is a primitive circuit. This contradicts the fact that  $y$  is a primitive circuit. If  $y$  is not a primitive circuit, in that case suppose  $y = z_1^s = z_2^l$  where  $z_1$  and  $z_2$  are primitive circuits and  $s, l$  are positive integers. If two closed walks are equal, it means that the sequence of vertices and edges in them is the same. In that case,  $z_1^s = z_2^l$  is possible only when either  $z_1$  is a power of  $z_2$  or vice-versa. Without loss of generality, assume  $z_1 = z_2^r$ . This contradicts the fact that  $z_1$  is a primitive circuit. So,  $z_1 = z_2$ .  $\square$

*Number of circuits.* Let  $f_r$  be the number of closed walks without back-tracking of length  $r$ , and  $c_r$  (resp  $c_r^o$ ) be the number of circuits (resp. primitive circuits) of length  $r$ .

**Lemma 4.2.**  $c_r = \sum_{s|r} c_s^o$ .

**Proof.** Every circuit ( $y$ ) can be written as a power of a primitive circuit i.e.  $y = z^s$ ,

where  $z$  is a primitive circuit. Let number of edges in  $y$  be  $r$ , number of edges in  $z$  be  $r'$ .  
 $\implies r = r' \cdot s$ . So, counting circuits of a certain length (here,  $r$ ) is equivalent to counting the number of primitive circuits of length which is a factor of  $r$ .  $\square$

**Lemma 4.3.**

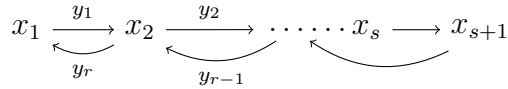
$$f_r - c_r = \sum_{1 \leq i < r/2} (q-1)q^{i-1}c_{r-2i} = (q-1)c_{r-2} + (q-1)qc_{r-4} + \dots \quad (4.1)$$

**Proof.**  $f_r - c_r =$  number of closed walks without back-tracking s.t.  $y_r = \overline{y_1}$ . This formula is demonstrated by noting that a closed walk without back-tracking,  $y = (y_1, y_2, \dots, y_r)$  which is not a circuit, is of the form  $y_1 \cdot z \cdot \overline{y_1}$ , where  $z = (y_2, \dots, y_{r-1})$  and a closed walk without back-tracking of length  $r - 2$ .

For a fixed  $z$ ,

(i) there are  $q - 1$  choices for  $y_1$  if  $z$  is a circuit.

Explanation:



As  $x_2$  is already part of 2 edges, one to  $x_3$  and the other one, edge that comes back to  $x_2$  so that  $z$  is a circuit).]

(ii)  $q$  choices when  $z$  is not a circuit. This means,  $y_{r-1} = \overline{y_2}$ .

$x_2$  is just part of one edge in this case. The edge from  $x_2$  to  $x_3$  is used twice, once while going towards  $x_3$  from  $x_2$  and the other time while completing the closed walk  $z$ . So, we have:

$$\begin{aligned} f_r - c_r &= (q-1)c_{r-2} + q(f_{r-2} - c_{r-2}) \\ &= (q-1)c_{r-2} + q((q-1)c_{r-4} + q(f_{r-4} - c_{r-4})) \\ &= (q-1)c_{r-2} + q(q-1)c_{r-4} + q^2(f_{r-4} - c_{r-4}) \\ &= (q-1)c_{r-2} + q(q-1)c_{r-4} + q^2(q-1)c_{r-6} + q^3(f_{r-6} - c_{r-6}) \\ &\vdots \\ &= (q-1)[c_{r-2} + qc_{r-4} + q^2c_{r-6} + \dots + q^{\frac{r-2}{2}}(f_2 - c_2)] \\ &= (q-1)[c_{r-2} + qc_{r-4} + q^2c_{r-6} + \dots + q^{\frac{r-4}{2}}c_2 + q^{\frac{r-2}{2}}[(q-1)c_0 + q(f_0 - c_0)]] \\ &= (q-1)[c_{r-2} + qc_{r-4} + q^2c_{r-6} + \dots + q^{\frac{r-4}{2}}c_2 + q^{\frac{r-2}{2}}[(q-1)c_0 + q(f_0 - c_0)]] \\ &= \sum_{1 \leq i < r/2} (q-1)q^{i-1}c_{r-2i} \end{aligned}$$

□

**Remark 2.** *The above lemma can be proved using induction also.*

## 4.2 The operators $T$ and $\Theta_r$ .

Let  $G$  be as above. We note  $C_G$  to be the group of 0-chains of  $G$  i.e. the  $\mathbb{Z}$ -module of functions on  $V(G)$  with values in  $\mathbb{Z}$ . If  $x \in V(G)$ , we define:

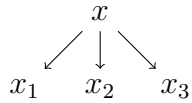
$$e_x(y) = \begin{cases} 1 & \text{if } y = x \\ 0 & \text{otherwise} \end{cases}$$

Easy to see that  $e_x$  forms a basis for  $C_G$ . (for  $f \in C_G$ ,  $f = \sum_{v \in V(G)} f(v) \cdot e_v$ )

*The operator  $T$ .* Let  $T$  be an endomorphism of  $C_G$  defined as:

$$T(e_x) = \sum_{y \in E: o(y)=x} e_{t(y)} \tag{4.2}$$

Example: Consider a graph which looks as follows:



Then,  $T(e_x) = e_{x_1} + e_{x_2} + e_{x_3}$ . Seen as a correspondence on  $V(G)$ ,  $T$  transforms a vertex to the sum of neighbours of the vertex.

*Correspondence between  $T$  and the adjacency matrix of  $G$ .*

$(i, j)^{th}$  entry of  $[T] =$  coefficient of  $e_{x_j}$  in  $T(e_{x_i})$

(1) If there's an edge between  $x_i$  and  $x_j$ :

$$T(e_{x_i}) = \dots + e_{x_j} + \dots$$

coefficient of  $e_{x_j}$  in  $T(e_{x_i}) = 1$

(2) If there's no edge between  $x_i$  and  $x_j$ :

In  $T(e_{x_i})$ , there's no  $e_{x_j}$  term in that case.

So,  $(i, j)^{th}$  entry of  $[T] = 0$ .

So, the matrix of  $T$  w.r.t the basis  $e_x$  is the adjacency matrix of  $G$ .

We are interested in the distribution of its eigenvalues in  $\mathbb{R}$ .

*The operators  $\Theta_r$ .* The definition of  $T$  is generalised in the following way: for all  $r \geq 1$ , we define  $\Theta_r \in \text{End}(C_G)$  as:

$$\Theta_r(e_x) = \sum_{\mathbf{y}} e_{t(\mathbf{y})} \quad (4.3)$$

or the sum over the walks without backtracking,  $\mathbf{y} = (y_1, y_2, \dots, y_r)$  with origin  $x$  and length  $r$ . It is clear that one has  $\Theta_1 = T$ .

The definition is completed by putting  $\Theta_0 = I$ .

*Expression of  $\Theta_r$  as a function of  $T$ .*  $\Theta_r$  are written as polynomials in  $T$ .

$$\Theta_0 = I, \Theta_1 = T, \Theta_2 = T^2 - (q + 1)$$

Let us consider the  $r = 2$  case.

$$\begin{aligned} \Theta_2(e_x) &= T^2(e_x) - (q + 1)e_x \\ &= T(T(e_x)) - (q + 1)e_x \\ &= T\left(\sum_{o(y)=x} e_{t(y)}\right) - (q + 1)e_x \\ &= \sum_{o(y)=x} T(e_{t(y)}) - (q + 1)e_x \quad (\because T \text{ is an endomorphism \& hence a homomorphism}) \\ &= \sum_{o(y)=x} \sum_{o(z)=t(y)} e_{t(z)} - (q + 1)e_x \end{aligned}$$

The indices  $x, y, z$  satisfying  $o(y) = x$  and  $o(z) = t(y)$  correspond to this picture:

$$x_1 \xrightarrow{y} x_2 \xrightarrow{z} x_3$$

But it also includes walks with back-tracking. That's why we have the second term.

$$\Theta_3 = T^3 - (2q + 1)T$$

Again, the second term is due to the extra condition of “without back-tracking”. Everything else is similar to the case that one gets by taking powers of adjacency matrix or powers of

T.

$$x_1 \xrightarrow{y_1} x_2 \xrightarrow{y_2} x_3 \xrightarrow{y_3} x_4$$

$$\Theta_3(e_x) = T(\Theta_2(e_x)) - qT(e_x)$$

One of the ways to see it is to demonstrate the formula:

$$T\Theta_r = \Theta_{r+1} + \begin{cases} q + 1 & , \text{ if } r = 1 \\ q\Theta_{r-1} & , \text{ if } r > 1 \end{cases} \quad (4.4)$$

**Proof.** There's a correspondence between  $[T]$  and adjacency matrix of  $G$ , which gives us that composing  $\Theta_r$  with  $T$  is the same as adding an edge to the already existing walk of length  $r$ .

$$\text{For } r = 1 : T\Theta_1 = \Theta_2 + (q + 1)$$

has already been shown as one of the examples.

$$\text{Assume it is true for } r = k (> 1) \text{ i.e. } T\Theta_k = (\Theta_{k+1}) + q\Theta_{k-1}$$

$$\implies T^2\Theta_k = (T\Theta_{k+1}) + qT(\Theta_{k-1})$$

$$\implies T\Theta_{k+1} = \Theta_{k+2} + q\Theta_k.$$

So, it is true for  $k+1$  also.  $\square$

We deduce the generator series:

$$\sum_{r=0}^{\infty} \Theta_r t^r = \frac{1 - t^2}{1 - tT + qt^2} \quad (4.5)$$

**Proof.** We have from (4.4),

$$T\Theta_r = \Theta_{r+1} + \begin{cases} q + 1 & , \text{ if } r = 1 \\ q\Theta_r & , \text{ if } r > 1 \end{cases}$$



$$\begin{aligned} \Rightarrow T\Theta_{r-1} &= \Theta_r + \begin{cases} q+1 & , \text{ if } r = 2 \\ q\Theta_{r-2} & , \text{ if } r > 2 \end{cases} \\ \Rightarrow T\Theta_r &= \Theta_{r-1} - \begin{cases} q+1 & , \text{ if } r = 2 \\ q\Theta_{r-2} & , \text{ if } r > 2 \end{cases} \end{aligned}$$

$$\begin{aligned} \sum_{r=0}^{\infty} \Theta_r t^r &= \sum_{r=0}^{\infty} \Theta_r t^r = \Theta_0 t^0 + \Theta_1 t + \Theta_2 t^2 + \sum_{r=3}^{\infty} \Theta_r t^r \\ &= 1 + Tt + (T\Theta_1 - (q+1)t^2) + \sum_{r=3}^{\infty} q\Theta_{r-2} t^r \end{aligned}$$

$$\begin{aligned} \text{But, } \sum_{r=0}^{\infty} T\Theta_r t^r &= T \sum_{r=0}^{\infty} \Theta_r t^r \quad (\because T \text{ is an endomorphism.}) \\ &= 1 + Tt + T^2 t^2 - (q+1)t^2 + Tt \sum_{r=3}^{\infty} \Theta_{r-1} t^{r-1} - qt^2 \sum_{r=3}^{\infty} \Theta_{r-2} t^{r-2} \\ &= 1 + Tt + T^2 t^2 - (q+1)t^2 + Tt \sum_{r=2}^{\infty} \Theta_r t^r - qt^2 \sum_{r=1}^{\infty} \Theta_r t^r \end{aligned}$$

Let  $\sum_{r=0}^{\infty} \Theta_r t^r = f$ . Then,

$$\begin{aligned} f &= 1 + Tt + T^2 t^2 - (q+1)t^2 + Tt(f - Tt - 1) - qt^2(f - 1) \quad (\text{here, } f = \sum_{r=0}^{\infty} \Theta_r t^r) \\ \Rightarrow f &= 1 + Tt + T^2 t^2 - (q+1)t^2 + Ttf - T^2 t^2 - Tt - qt^2 f + qt^2 \\ \Rightarrow f - Ttf + qt^2 f &= 1 - t^2 \\ \Rightarrow f(1 - Tt + qt^2) &= 1 - t^2 \\ \Rightarrow f &= \frac{1 - t^2}{1 - Tt + qt^2} \end{aligned}$$

□

If we set:

$$T' = T/q^{1/2} \text{ and } \Theta_r' = \Theta_r/q^{r/2} \tag{4.6}$$

Using the same method as in the above proof, the formula (4.5) can be rewritten as:

$$\sum_{r=0}^{\infty} \Theta_r' t^r = \frac{1 - t^2/q}{1 - T't + t^2} \quad (4.7)$$

**Lemma 4.4** (eq. 23, [7]).

$$\sum_{n=0}^{\infty} Y_{n,q}(x)t^n = \frac{1 - t^2/q}{1 - xt + t^2} \quad (4.8)$$

Comparing (4.7) with (4.8), we can deduce that

$$\Theta_r' = Y_{r,q}(T') \quad (4.9)$$

where  $Y_{r,q} = X_r - q^{-1}X_{r-2}$ .

In other words:

$$\Theta_r = q^{r/2}Y_{r,q}(T/q^{r/2}) \quad (4.10)$$

*Trace of  $\Theta_r$ .* If  $r \geq 1$ , it is clear that  $Tr \Theta_r = f_r$  ( $f_r$  is the number of closed walks without back-tracking of length  $r$ ). Hence, from (4.1):

$$Tr \Theta_r = c_r + \sum_{1 \leq i \leq r/2} (q-1)q^{i-1}c_{r-2i} \quad (r \geq 1) \quad (4.11)$$

Thus, the knowledge of  $Tr \Theta_r$ , for  $r = 1, 2, \dots$ , is equivalent to that of  $c_r$ . (By solving the equation for one  $r$  at a time, starting with  $r = 1$ .) From (4.8), it follows that, for any polynomial  $P$ , the trace of  $P(T')$  can be expressed as a linear combination of  $c_r$  and  $|G| = Tr I$ . This follows from the below:

*Note:*  $Y_{n,q}$ 's also form a basis for the set of polynomials on  $\Omega$ , like  $X_n$ 's.

We'll need to look at the special case where  $P$  is a polynomial  $Y_r = X_r - X_{r-2}$

**Lemma 4.5.** *If  $r \geq 1$ , we have:*

$$Tr Y_r(T') = c_r q^{-r/2} - \begin{cases} (q-1)q^{-r/2}|E| & , \text{ if } r \text{ is even} \\ 0 & , \text{ if } r \text{ is odd} \end{cases} \quad (4.12)$$

**Proof.** From (4.9) & (4.10), we have (for  $r \geq 1$ ):

$$\begin{aligned} \text{Tr}(\Theta_r) &= \text{Tr}(q^{r/2}Y_{r,q}(T')) \\ &= c_r + \sum_{1 \leq i < r/2} (q-1)q^{i-1}c_{r-2i} \\ \implies q^{r/2}\text{Tr}(Y_{r,q}(T')) &= c_r + \sum_{1 \leq i < r/2} (q-1)q^{i-1}c_{r-2i}, \text{ if } r \geq 1 \end{aligned}$$

Note: for  $r = 0$ ,  $\text{Tr}(\Theta_0) = \text{Tr}(I) = |G|$ .

$$\text{So, } q^{r/2} \cdot \text{Tr}(Y_{r,q}(T')) = \begin{cases} |E| & , \text{ if } r = 0 \\ c_r + \sum_{1 \leq i < r/2} (q-1)q^{i-1}c_{r-2i} & , \text{ if } r \geq 1 \end{cases}$$

As  $Y_{r,q} = X_r - \frac{1}{q}X_{r-2}$ , we can deduce by induction on  $r$ :

$$q^{r/2}\text{Tr}X_r(T') = \sum_{0 \leq i < r/2} q^i c_{r-2i} + \begin{cases} |E| & , \text{ if } r \text{ is even} \\ 0 & , \text{ if } r \text{ is odd} \end{cases} \quad (4.13)$$

For example, for  $r = 2$  we have from (4.13):

$$q \cdot \text{Tr}(X_{2,q}(T')) = q \cdot \text{Tr}(X_2(T')) - \frac{1}{q} \cdot \text{Tr}(X_0(T')) = (c_2 + (q-1)c_0)$$

$$\implies q\text{Tr}(X_2(T')) = (c_2 + (q-1)c_0) + \text{Tr}(X_0(T')) = c_2 + \text{Tr}(I) = c_2 + |E|.$$

**Proof.[For 4.13]** We'll use induction on  $r$ .

For  $r = 1$ :

$$q^{1/2}\text{Tr}(Y_{1,q}(T')) = c_1 \text{ (using (4.10))}$$

$$\implies q^{1/2}\text{Tr}(X_1(T') - \frac{1}{q}X_{-1}(T')) = c_1$$

$$\implies q^{1/2}\text{Tr}(X_1(T')) = c_1$$

$$\text{RHS of equality, (4.13), } \sum_{0 \leq i < 1/2} q^i c_{r-2i} = q^0 c_1 = c_1.$$

For  $r = 2$ :

$$q^{2/2}Tr(X_{2,q}(T')) = c_2$$

$$\implies q^{2/2}Tr(X_2(T')) - \frac{1}{q}TrX_0(T') = c_2$$

$$\implies q^{2/2}Tr(X_2(T')) = Tr(X_0(T')) + c_2 = |E| + c_2$$

$$\text{RHS of equality} = \sum_{0 \leq i < 1} q^i c_{r-2i} + |E| = q^0 c_2 + |E|$$

Assume the given statement is true for  $r = k$ :

$$q^{k/2}TrX_k(T') = \sum_{0 \leq i < k/2} q^i c_{k-2i} + \begin{cases} |E| & , \text{ if } k \text{ is even} \\ 0 & , \text{ if } k \text{ is odd} \end{cases}$$

To show: the equality holds for  $k + 2$ .

From (4.13),

$$\begin{aligned} q^{(k+2)/2}Tr(X_{k+2,q}(T')) &= q^{(k+2)/2}Tr(X_{k+2}(T') - \frac{1}{q}X_k(T')) + \begin{cases} |E| & , \text{ if } r = 0 \\ c_r + \sum_{1 \leq i < k/2+1} q^i c_{k+2-2i} & , \text{ if } r \geq 1 \end{cases} \\ \implies q^{(k+2)/2}Tr(X_{k+2}(T')) - q^{k/2}Tr(X_k(T')) &= \begin{cases} |E| & , \text{ if } k + 2 = 0 \\ c_{k+2} + \sum_{1 \leq i < k/2+1} q^i c_{k+2-2i} & , \text{ if } k + 2 \geq 1 \end{cases} \end{aligned} \quad (4.14)$$

$$\begin{aligned} \implies q^{(k+2)/2}Tr(X_{k+2}(T')) &= q^{k/2}Tr(X_k(T')) + c_{k+2} + \sum_{1 \leq i < k/2+1} q^i c_{k+2-2i} \\ &= \sum_{1 \leq i < k/2+1} q^i c_{k+2-2i} + \begin{cases} |E| & , \text{ if } k \text{ is even} \\ 0 & , \text{ if } k \text{ is odd} \end{cases} + c_{k+2} + \\ &\quad \sum_{1 \leq i < k/2} (q-1)q^{i-1}c_{k+2-2i} \end{aligned}$$

$$\begin{aligned}
&= \sum_{1 \leq i < k/2} q^{i-1} c_{k-2(i-1)} + c_{k+2} + \sum_{1 \leq i < k/2} (q-1) q^{i-1} c_{k+2-2i} \\
&+ \begin{cases} |E| & , \text{ if } k \text{ is even} \\ 0 & , \text{ if } k \text{ is odd} \end{cases} \\
&= \sum_{1 \leq i < k/2} q^{i-1} c_{k+2-2i} [1 + (q-1)] + c_{k+2} + \begin{cases} |E| & , \text{ if } k \text{ is even} \\ 0 & , \text{ if } k \text{ is odd} \end{cases} \\
&= \sum_{1 \leq i < k/2} q^i c_{k+2-2i} + c_{k+2} + \begin{cases} |E| & , \text{ if } k \text{ is even} \\ 0 & , \text{ if } k \text{ is odd} \end{cases} \\
&= \sum_{1 \leq i < k/2} q^i c_{k+2-2i} + \begin{cases} |E| & , \text{ if } k \text{ is even} \\ 0 & , \text{ if } k \text{ is odd} \end{cases}
\end{aligned}$$

*Case 1: k is even*

$$\implies q^{(k+2)/2} \text{Tr} X_{k+2}(T') = \sum_{1 \leq i < (k+2)/2} q^i c_{k+2-2i} + |E|$$

So, the equality holds.

*Case 2: k is odd.*

$$\implies q^{(k+2)/2} \text{Tr} X_{k+2}(T') = \sum_{1 \leq i < (k+2)/2} q^i c_{k+2-2i} + 0$$

So we have,

$$\begin{aligned}
q^{(k+2)/2} \text{Tr}(X_{k+2}(T')) &= \sum_{1 \leq i \leq k/2} q^i c_{k+2-2i} + \begin{cases} |E| & , \text{ if } k+2 \text{ is even} \\ 0 & , \text{ if } k+2 \text{ is odd} \end{cases} \\
\implies q^{r/2} \text{Tr} X_r(T') &= \sum_{1 \leq i < r/2} q^i c_{r-2i} + \begin{cases} |E| & , \text{ if } r \text{ is even} \\ 0 & , \text{ if } r \text{ is odd} \end{cases} \quad \forall r \geq 1
\end{aligned}$$

□

Coming back to the proof of Lemma 4.5 again,

$$\begin{aligned}
\implies TrX_r(T') &= q^{-r/2} \sum_{1 \leq i < r/2} q^i c_{r-2i} + q^{-r/2} \begin{cases} |E| & , \text{ if } r \text{ is even} \\ 0 & , \text{ if } r \text{ is odd} \end{cases} \\
\implies TrY_r(T') &= TrX_r(T') - TrX_{r-2}(T') \\
&= q^{-r/2} \sum_{1 \leq i < r/2} q^i c_{r-2i} + q^{-r/2} \begin{cases} |E| & , \text{ if } r \text{ is even} \\ 0 & , \text{ if } r \text{ is odd} \end{cases} - \\
&\quad q^{-(r-2)/2} \sum_{1 \leq i < (r-2)/2} q^i c_{r-2i} + q^{-(r-2)/2} \begin{cases} |E| & , \text{ if } r \text{ is even} \\ 0 & , \text{ if } r \text{ is odd} \end{cases} \\
\implies TrY_r(T') &= q^{-r/2} \sum_{-1 \leq i < r/2-1} q^{i+1} c_{r-2(i+1)} + q^{-r/2}(1-q) \begin{cases} |E| & , \text{ if } r \text{ is even} \\ 0 & , \text{ if } r \text{ is odd} \end{cases} \\
&\quad - q^{-(r-2)/2} \sum_{-1 \leq i < r/2-1} q^i c_{r-2-2i} \\
&= q^{-r/2} \cdot q \cdot \sum_{-1 \leq i < r/2-1} q^i c_{r-2-2i} - q^{-r/2+1} \cdot \sum_{0 \leq i < r/2-1} q^i c_{r-2-2i} \\
&\quad - q^{-r/2}(q-1) \cdot \begin{cases} |E| & , \text{ if } r \text{ is even} \\ 0 & , \text{ if } r \text{ is odd} \end{cases} \\
&= q^{-r/2+1} \cdot q^{-1} \cdot c_{r-2+2} - q^{-r/2}(q-1) \begin{cases} |E| & , \text{ if } r \text{ is even} \\ 0 & , \text{ if } r \text{ is odd} \end{cases} \\
&= q^{-r/2} c_r - q^{-r/2}(q-1) \begin{cases} |E| & , \text{ if } r \text{ is even} \\ 0 & , \text{ if } r \text{ is odd} \end{cases}
\end{aligned}$$

□

### 4.3 Equidistribution of eigenvalues of $T'$

$\{E_\lambda\}$  is a family of graphs of the above type (i.e. finite, non-empty & regular graphs of degree  $q+1$ ). Let  $c_{r,\lambda}$  (respectively  $c_{r,\lambda^o}$ ) be the number of circuits (respectively primitive

circuits) in  $E_\lambda$  of length  $r$ . For each  $\lambda$ , the adjacency matrix  $T_\lambda$  of  $E_\lambda$  is a symmetric matrix whose coefficients are  $\geq 0$  & sum is  $q + 1$  (on each row). This results in the fact that the eigenvalues of  $T$  are real and absolute values  $\leq q + 1$ . To be more specific, this follows from the below two lemmas:

**Lemma 4.6.** *The eigenvalues of a real symmetric matrix are real.*

**Lemma 4.7.** *For a row stochastic matrix, absolute value of eigenvalues is less than equal to sum of each row.*

So from the above lemma, Eigenvalues of  $T_\lambda$  lie in  $[-(q + 1), (q + 1)]$ . This is true as the adjacency matrix of  $T_\lambda$  is a row stochastic matrix with row sum equal to  $q + 1$ . As in the preceding, it is convenient to divide  $T_\lambda$  by  $q^{1/2}$ , which gives a matrix whose eigenvalues belong to the interval:  $\Omega_q = [-\omega_q, +\omega_q]$  where  $\omega_q = q^{1/2} + q^{-1/2}$ . So, eigenvalues of  $q^{-1/2} T_\lambda$  would lie in  $\left[ \frac{-(q + 1)}{q^{1/2}}, \frac{(q + 1)}{q^{1/2}} \right] = [-\omega_q, +\omega_q]$ . This interval contains the interval  $\Omega = [-2, 2]$  used hitherto. ( $\because q^{1/2} + q^{-1/2} \geq 2 \forall q > 1$ .)

In particular, any measure on  $\Omega$  is identified with a measure on  $\Omega_q$  whose support is contained in  $\Omega$ . Let  $(x_\lambda)$  be the family of eigenvalues of  $T'_\lambda$ , viewed as family of points in the space  $\Omega_q$ .

**Theorem 4.8.** *1) The following two properties are equivalent:*

*(i) There exists a measure  $\mu$  on  $\Omega_q$  such that  $x_\lambda$  are  $\mu$ -equidistributed.*

*(ii)  $\forall r \geq 1$ ,  $c_{r,\lambda}/|E_\lambda|$  has a limit when  $\lambda \rightarrow \infty$ .*

*2) Suppose (i) & (ii) are satisfied, and let:*

$$\gamma_r = \lim_{\lambda \rightarrow \infty} c_{r,\lambda}/|E_\lambda|, \text{ for } r = 1, 2, \dots \quad (4.15)$$

*then we have  $\mu = \mu_q + \nu$ , where  $\mu_q$  is a measure on  $\Omega$  defined as*

$$\mu_q := \frac{(q + 1)}{\pi[(q^{1/2} + q^{-1/2})^2 - x^2]} \sqrt{1 - \frac{x^2}{4}}$$

*and  $\nu$  is a measure on  $\Omega_q$ , characterised by:*

$$\int_{\Omega_q} Y_r(x) \nu(x) dx = \begin{cases} 0, & \text{if } r = 0 \\ \gamma_r q^{-r/2} & \text{if } r > 0 \end{cases}$$

where  $Y_r = X_r - X_{r-2}$  and  $X_r$ 's are Chebyshev polynomials (see Chapter 3).

We will need the following lemma to prove Theorem 4.1.

**Lemma 4.9** ([1], Chapter 3). *Let  $X$  be a locally compact space. Denote by  $C(X; E)$  the vector space of continuous functions from  $X$  to  $E$ . We shall denote by  $K(X; E)$  the subspace of  $C(X; E)$  formed by the continuous functions with compact support. Let  $V$  be a linear subspace of  $K(X; R)$  having the following property: For every compact subset  $K$  of  $X$ , there exists a function  $f \in V$  such that  $f \geq 0$  and  $f(x) > 0 \forall x \in K$ . Under these conditions, every positive linear form on  $V$  for the ordering induced by that of  $K(X; R)$  may be extended to a positive measure on  $X$  (which is unique when  $V$  is dense in  $K(X; R)$ ).*

**Proof.**[Theorem 4.1] Define  $\langle Y_r, \nu \rangle = \int_{-\omega_q}^{\omega_q} Y_r(x) \nu(x) dx$ : the integral covers the whole interval  $\Omega_q$ . Let  $\delta_{x_\lambda}$  be the discrete measure on  $\Omega_q$  defined by the family  $x_\lambda$ . According to (4.11),

$$\text{Tr} Y_r(T_\lambda') = c_r q^{-r/2} - \begin{cases} (q-1)q^{-r/2}|E| & , \text{ if } r \text{ is even} \\ 0 & , \text{ if } r \text{ is odd} \end{cases} \quad (4.16)$$

$$\begin{aligned} \langle Y_r, \delta_{x_\lambda} \rangle &= \int_{-\omega_q}^{\omega_q} Y_r(x) \cdot \delta_{x_\lambda}(x) \\ &= \frac{1}{E_\lambda} \sum_{i \in I_\lambda} Y_r(x_{i,\lambda}) \end{aligned}$$

where,  $I_\lambda$  is an indexing set for  $\text{Spec}(T_\lambda')$ .

*Claim:*  $\text{Tr} Y_r(T_\lambda') = \sum_{i \in I_\lambda} Y_r(x_{i,\lambda})$

*Fact:*  $\text{Tr}(A^n) = \sum_{\text{Spec}(A)} \lambda_i^n$ . (here,  $\text{Spec}(A)$  or the spectrum of  $A$  denotes the set of eigenvalues of  $A$ ).



Let  $Y_r(x) = \sum_{j=0}^r c_j x^j$ . Then,

$$\begin{aligned}
Y_r(T'_\lambda) &= \sum_{j=0}^r c_j \text{Tr}[(T_\lambda)^j] \\
&= \sum_{j=0}^r c_j \sum_{\text{Spec}(T'_\lambda)} x_\lambda^j \\
&= \sum_{\text{Spec}(T'_\lambda)} \sum_{j=0}^r c_j x_\lambda^j \text{ (finite summations, so can be interchanged)} \\
&= \sum_{\text{Spec}(T'_\lambda)} Y_r(x_\lambda) \\
&= \sum_{i \in I_\lambda} Y_r(x_{i,\lambda}) \text{ (just writing it in terms of the indexing set)}
\end{aligned}$$

We have

$$\begin{aligned}
\langle Y_r, \delta_{x_\lambda} \rangle &= \frac{1}{|E_\lambda|} \sum_{i \in I_\lambda} Y_r(x_{i,\lambda}) \\
&= \frac{1}{|E_\lambda|} \text{Tr} Y_r(T'_\lambda)
\end{aligned}$$

But from (4.1), we have

$$\langle Y_r, \delta_{x_\lambda} \rangle = \frac{1}{|E_\lambda|} c_{r,\lambda} q^{-r/2} - \frac{1}{|E_\lambda|} \begin{cases} (q-1)q^{-r/2}|E_\lambda| & , \text{ if } r \text{ is even} \\ 0 & , \text{ if } r \text{ is odd} \end{cases} \quad (4.17)$$

$$= \frac{c_{r,\lambda} q^{-r/2}}{|E_\lambda|} - \begin{cases} (q-1)q^{-r/2} & , \text{ if } r \text{ is even} \\ 0 & , \text{ if } r \text{ is odd.} \end{cases} \quad (4.18)$$

If  $(x)_\lambda$  are  $\mu$ -equidistributed i.e.  $\delta_{x_\lambda} \rightarrow \mu$ , then

$$\lim_{\lambda \rightarrow \infty} \langle Y_r, \delta_{x_\lambda} \rangle = \langle Y_r, \mu \rangle \quad (4.19)$$

$$\text{Thus, } \lim_{\lambda \rightarrow \infty} \frac{c_{r,\lambda} q^{-r/2}}{|E_\lambda|} - \begin{cases} (q-1)q^{-r/2} & , \text{ if } r \text{ is even} \\ 0 & , \text{ if } r \text{ is odd} \end{cases} = \langle Y_r, \mu \rangle \quad (4.20)$$

$$\begin{aligned} &\implies \lim_{\lambda \rightarrow \infty} \frac{c_{r,\lambda} q^{-r/2}}{|E_\lambda|} - \begin{cases} (q-1)q^{-r/2} & , \text{ if } r \text{ is even} \\ 0 & , \text{ if } r \text{ is odd} \end{cases} \text{ exists.} \\ &\implies \lim_{\lambda \rightarrow \infty} \frac{c_{r,\lambda}}{|E_\lambda|} \text{ exists } \forall r > 0. \end{aligned}$$

*Proof of the converse:* Suppose  $\lim_{\lambda \rightarrow \infty} \frac{c_{r,\lambda}}{|E_\lambda|}$  exists for every  $r \geq 1$ .

Then,  $\langle Y_r, \delta_{x_\lambda} \rangle$  has a limit (from (4.18)).

$$\text{For } r = 0, \langle Y_r, \delta_{x_\lambda} \rangle = \frac{1}{|E_\lambda|} \sum_{i \in I_\lambda} Y_0(x_{i,\lambda}) = \frac{1}{|E_\lambda|} \sum_{i \in I_\lambda} 1 = \frac{|E_\lambda|}{|E_\lambda|} = 1.$$

One can check that,  $\{Y_r, r = 0, 1, \dots, n\}$  forms a basis of set of all polynomials of degree  $\leq n$ . So by linearity,  $\langle P, \delta_{x_\lambda} \rangle$  has a limit  $\forall$  polynomials  $P$  on  $\Omega$ . Let  $\lim_{\lambda \rightarrow \infty} \langle P, \delta_{x_\lambda} \rangle = \mu(P)$ . We have,

$$\begin{aligned} \mu(1) &= \lim_{\lambda \rightarrow \infty} \langle 1, \delta_{x_\lambda} \rangle \\ &= \lim_{\lambda \rightarrow \infty} \frac{1}{|E_\lambda|} \sum_{i \in I_\lambda} 1 = 1 \\ \mu(P) &= \lim_{\lambda \rightarrow \infty} \langle P, \delta_{x_\lambda} \rangle \\ &= \lim_{\lambda \rightarrow \infty} \frac{1}{|E_\lambda|} \sum_{i \in I_\lambda} P(x_\lambda) \end{aligned}$$

$\implies$  If  $P \geq 0$  on  $\Omega$ ,  $\mu(P) \geq 0$ .

Let  $X = \Omega$ ,  $E = \mathbb{R}$ ,  $K(X; \mathbb{R}) = K(\Omega; \mathbb{R}) = C(\Omega; \mathbb{R})$  and  $V =$  set of all real-valued polynomials on  $\Omega$ .  $X$  is a locally compact space. So from Lemma 4.9, we can extend  $\mu$  to a positive measure on  $\Omega$ . Also, this measure is unique as  $V$  is dense in  $C(\Omega; \mathbb{R})$ . This follows from the Stone-Weierstrass theorem.

Hence,  $\mu(f) = \lim_{\lambda \rightarrow \infty} \langle f, \delta_{x_\lambda} \rangle$  exists for all continuous functions  $f$  on  $\Omega$ . This is nothing but an equivalent condition for  $(x_\lambda)$  to be equidistributed.

This ends the proof of statement (1) of the theorem.

*Proof of (2):* Let  $\lim_{\lambda \rightarrow \infty} \frac{c_{r,\lambda}}{|E_\lambda|} = \gamma_r$ .

$$\implies \lim_{\lambda \rightarrow \infty} \frac{c_{r,\lambda} q^{-r/2}}{|E_\lambda|} = \begin{cases} (q-1)q^{-r/2} & , \text{ if } r \text{ is even} \\ 0 & , \text{ if } r \text{ is odd} \end{cases} = \langle Y_r, \mu \rangle \text{ (from (4.19)).} \quad (4.21)$$

According to (90, [7]),

$$\langle Y_r, \mu_q \rangle = \begin{cases} -(q-1)q^{-r/2} & , \text{ if } r \text{ is even} \\ 0 & , \text{ if } r \text{ is odd} \end{cases} \quad (4.22)$$

$$\begin{aligned} \implies \langle Y_r, \mu \rangle &= \gamma_r \cdot q^{-r/2} + a_r(\mu_q) \\ &= \gamma_r \cdot q^{-r/2} + \langle Y_r, \mu_q \rangle \end{aligned}$$

$$\implies \langle Y_r, \mu - \mu_q \rangle = \gamma_r \cdot q^{-r/2}$$

$$\implies \langle Y_r, \nu \rangle = \gamma_r \cdot q^{-r/2} \text{ where } \nu = \mu - \mu_q$$

So, we're done.  $\square$



# Chapter 5

## Ramanujan Graphs

**Definition 5.1.** Let  $X = X_{n,k}$  be a  $k$ -regular graph on  $n$  vertices. Let  $\lambda(X)$  be the absolute value of its largest eigenvalue (distinct from  $\pm k$ ). A graph  $X_{n,k}$  is called a Ramanujan graph if

$$\lambda(X) \leq 2\sqrt{k-1}.$$

Lubotzky, Phillips and Sarnak, [4], constructed a particular family of Ramanujan graphs (discussed in sections 5.1 and 5.2). They compute the distribution measure for families of regular graphs for which the girth asymptotically tends to infinity. This result is relevant to the family of graphs constructed by them as the girth for this family also asymptotically tends to infinity.

In this chapter, we try to apply Serre's result to compute the distribution measure for such families. We also compute the measure using a result published by B.D.McKay [5].

### 5.1 Cayley Graphs

Let  $G$  be a finite group and  $S$  a  $k$ -element subset of  $G$ . A set  $S \subset G$  is said to be a symmetric set if  $s \in S$  implies  $s^{-1} \in S$ .

We can construct the graph  $X(G, S)$  using  $G$  and  $S$  as follows: Take the vertex set to be the elements of  $G$ . For any vertices  $x, y$  in  $G$ ,  $(x, y)$  is an edge if and only if  $xy^{-1} \in S$ .

*Claim:*  $X(G, S)$  is a  $k$ -regular graph.

For every vertex  $x \in G$ , we need to look for  $y \in G$  such that  $xy^{-1}$  lies in  $S$  i.e.  $xy^{-1} = s$  for some  $s \in S$ . So,  $y = s^{-1}x$ . There are  $k$  choices for  $s^{-1}$ . So,  $x$  is connected to  $k$  vertices.

**Lemma 5.1.** *If the symmetric subset  $S$  does not generate the entire group  $G$ , then the Cayley Graph  $X(G, S)$  is not connected.*

**Proof.** Let us assume that  $X(G, S)$  is connected. We are given that  $S$  does not generate  $G$ . Let  $x \in G$  be an element not generated by  $S$ . As  $x$  is connected, there is a path  $x$  and any other vertex (say  $y$ ) in  $G$ . Choose  $y$  to be in  $S$ . Let the vertex sequence of the path be  $x, x_1, x_2, \dots, x_r, y$ .  $x_r$  and  $y$  share an edge. So,  $x_r = s_r y$  for some  $s_r \in S$ . Similarly, we can show that  $x_{r-1} = s_{r-1} s_r y$  and so on. This gives  $x = s_1 s_2 \dots y$  which means that  $x$  lies in  $S$ , contradicting our assumption.  $\square$

## 5.2 Lubotzky-Phillips-Sarnak's Construction of Ramanujan Graphs

This is a construction of an explicit Ramanujan graph given by Lubotzky, Phillips, Sarnak ([4]):

Let  $p, q$  be two unequal primes congruent to 1 mod 4. Let  $i$  be an integer satisfying  $i^2 \equiv -1 \pmod{q}$ .

- Consider the equation  $a_0^2 + a_1^2 + a_2^2 + a_3^2 = p$ . There are  $8(p+1)$  solutions  $\alpha = (a_0, a_1, a_2, a_3)$  to this equation. Out of these, there are  $(p+1)$  solutions with  $a_0 > 0$  and odd and  $a_j$  even for  $j = 1, 2, 3$ .
- To each solution associate the matrix  $\tilde{\alpha}$  in  $PGL(2, \mathbb{Z}/q\mathbb{Z})$

$$\tilde{\alpha} = \begin{bmatrix} a_0 + ia_1 & a_2 + ia_3 \\ -a_2 + ia_3 & a_0 - ia_1 \end{bmatrix}$$

- Form the Cayley graph of  $PGL(2, \mathbb{Z}/q\mathbb{Z})$  by taking the set of above  $p+1$  solutions as the symmetric subset.

- The graph obtained is a  $(p + 1)$  regular graph with  $n = q(q^2 - 1)$  vertices.  
 $|PGL(2, \mathbb{Z}/q\mathbb{Z})| = |GL(2, \mathbb{Z}/q\mathbb{Z})|/|Z(GL(2, \mathbb{Z}/q\mathbb{Z}))|$

$$Z(GL(2, \mathbb{Z}/q\mathbb{Z})) = \begin{bmatrix} \lambda & 0 \\ 0 & \lambda \end{bmatrix}, \text{ where } \lambda \in (\mathbb{Z}/q\mathbb{Z})^*$$

So,  $|PGL(2, \mathbb{Z}/q\mathbb{Z})| = (q^2 - 1)(q^2 - q)/(q - 1)$ .

- $PSL(2, \mathbb{Z}/q\mathbb{Z})$  is an index two subgroup of  $PGL(2, \mathbb{Z}/q\mathbb{Z})$ . Let  $Z_S$  &  $Z_G$  be the centres of  $SL(2, \mathbb{Z}/q\mathbb{Z})$  &  $GL(2, \mathbb{Z}/q\mathbb{Z})$  respectively.  $PSL(2, \mathbb{Z}/q\mathbb{Z}) = SL(2, \mathbb{Z}/q\mathbb{Z})/Z_S$   
 $PGL(2, \mathbb{Z}/q\mathbb{Z}) = GL(2, \mathbb{Z}/q\mathbb{Z})/Z_G$

$$Z_G = \begin{bmatrix} \lambda & 0 \\ 0 & \lambda \end{bmatrix}, \text{ where } \lambda \in (\mathbb{Z}/q\mathbb{Z})^*$$

and

$$Z_S = \begin{bmatrix} \lambda & 0 \\ 0 & \lambda \end{bmatrix}, \text{ where } \lambda \in (\mathbb{Z}/q\mathbb{Z})^* \text{ such that } \lambda^2 = 1.$$

Let  $g \in SL(2, \mathbb{Z}/q\mathbb{Z})$ .  $g.Z_S \in PSL(2, \mathbb{Z}/q\mathbb{Z})$  &  $g.Z_G \in PGL(2, \mathbb{Z}/q\mathbb{Z})$ . But,  $Z_S \subsetneq Z_G$ .  
 So,  $g.Z_S \neq g.Z_G$ .  $PSL(2, \mathbb{Z}/q\mathbb{Z})$  is not a subgroup of  $PGL(2, \mathbb{Z}/q\mathbb{Z})$  in the usual sense.  
 We define a homomorphism from  $PSL(2, \mathbb{Z}/q\mathbb{Z})$  to  $PGL(2, \mathbb{Z}/q\mathbb{Z})$  as follows:

$$\begin{aligned} PSL(2, \mathbb{Z}/q\mathbb{Z}) &\rightarrow PGL(2, \mathbb{Z}/q\mathbb{Z}) \\ gZ_S &\mapsto gZ_G \end{aligned}$$

- If  $\left(\frac{p}{q}\right) = 1$ , all  $\tilde{\alpha}$ 's lie inside  $PSL(2, \mathbb{Z}/q\mathbb{Z})$ .

- *Claim:* Any matrix in  $PGL(2, \mathbb{Z}/q\mathbb{Z})$  lies in  $PSL(2, \mathbb{Z}/q\mathbb{Z})$  if its determinant is a square mod  $q$ .
- Every element in  $PSL(2, \mathbb{Z}/q\mathbb{Z})$  is of the form  $g.Z_S$ , which is mapped to  $g.Z_G$ . determinant of (representative of)  $g.Z_G = \det(g).\det(Z_G) = 1.\lambda^2$  for some  $\lambda \in \mathbb{Z}/q\mathbb{Z}$ .  
 So, the determinant of every element in  $PSL(2, \mathbb{Z}/q\mathbb{Z})$  is a square.
- If  $\alpha \in PGL(2, \mathbb{Z}/q\mathbb{Z})$  and  $\det(\alpha)$  is a square, say  $x^2$  for some  $x \in \mathbb{Z}/q\mathbb{Z}$ . We

want to show that  $\alpha = g.Z_G$  for some  $g \in SL(2, \mathbb{Z}/q\mathbb{Z})$ . Take  $\begin{bmatrix} x & 0 \\ 0 & x \end{bmatrix}$  as the

representative of  $Z_G$ . It is clear that  $g = \alpha \cdot \begin{bmatrix} x & 0 \\ 0 & x \end{bmatrix}^{-1}$  works. ( $g \in SL(2, \mathbb{Z}/q\mathbb{Z})$  as

$$\det(g) = \det(\alpha) \cdot \frac{1}{\det \begin{bmatrix} x & 0 \\ 0 & x \end{bmatrix}}$$

–  $\text{Det}(\tilde{\alpha}) = p$ . If  $\left(\frac{p}{q}\right) = 1$ ,  $p \equiv x^2 \pmod{q}$  for some  $x \in \mathbb{Z}/q\mathbb{Z}$ . So,  $\text{Det}\tilde{\alpha}$  is a square and hence  $\tilde{\alpha}$  lies in  $PGL(2, \mathbb{Z}/q\mathbb{Z})$ .

- It follows from Lemma 5.1 that the graph is disconnected.
- So, they define the graph  $(X^{p,q})$  to the above Cayley graph as above if  $\left(\frac{p}{q}\right) = -1$  and to be the Cayley graph of  $PSL(2, \mathbb{Z}/q\mathbb{Z})$  if  $\left(\frac{p}{q}\right) = 1$ .

### 5.3 Asymptotic eigenvalue distribution in a family of $X_{n,k}$ 's

Consider a sequence of  $k$ -regular graphs  $X_{n,k}$ 's for which  $n \rightarrow \infty$  and the girth (of  $X_{n,k}$ )  $g_{X_{n,k}} \rightarrow \infty$  as  $n \rightarrow \infty$ . Lubotkzy, Phillips and Sarnak (LPS) show in their paper that the Cayley graphs  $X^{p,q}$  have the property that  $g_{X^{p,q}} \rightarrow \infty$  as  $q \rightarrow \infty$ . So, the condition on the girth tending to infinity is relevant to their construction.

Associate with each graph in the family a measure  $\mu_{X_{n,k}}$  supported on  $[-k, k]$  which puts point masses  $1/n$  at each of its eigenvalues. LPS show in [4] the following proposition about the asymptotic distribution measure of the eigenvalues of this family of graphs:

**Theorem 5.2** (Prop 4.3, [4]).

$$\lim_{\substack{n \rightarrow \infty \\ g_{X_{n,k}} \rightarrow \infty}} \mu_{X_{n,k}} = \mu_k$$

where

$$d\mu_k(t) = \begin{cases} \frac{\sqrt{k-1-t^2/4}}{\pi k(1-(t/k)^2)} dt & \text{if } |t| \leq 2\sqrt{k-1} \\ 0 & \text{otherwise.} \end{cases} \quad (5.1)$$



We now present alternate proofs of Theorem 5.2 using a result of B.D.McKay and then using Serre's result (Theorem 4.1).

## 5.4 Alternate proofs of Proposition 4.3

### 5.4.1 Proof using B.D.McKay's result

Consider a sequence of  $k$ -regular graphs  $X_{n,k}$ 's such that  $|X_{n,k}| \rightarrow \infty$  as  $n \rightarrow \infty$ . Let  $C_{r,n}$  be the number of  $r$ -cycles in  $X_{n,k}$ .

B.D.McKay proved the following result in 1981:

**Theorem 5.3.** *If  $\lim_{n \rightarrow \infty} C_{r,n}/|X_{n,k}| = 0$  for every  $r \geq 3$ , then the limiting probability density function (or the distribution measure) of the eigenvalues of  $X_{n,k}$  is given by:*

$$f(x) = \begin{cases} k \frac{\sqrt{4(k-1) - x^2}}{2\pi(k^2 - x^2)} & \text{for } |x| \leq 2\sqrt{k-1} \\ 0 & \text{otherwise.} \end{cases}$$

In Theorem 5.2, we are looking at  $X_{n,k}$ 's such that  $g_{X_{n,k}} \rightarrow \infty$  as  $n \rightarrow \infty$ . If  $g_{X_{n,k}} \rightarrow \infty$  as  $n \rightarrow \infty$ , it is easy to see that  $\lim_{n \rightarrow \infty} C_{r,n} = 0 \forall r > 0$ . So,  $\lim_{n \rightarrow \infty} C_{r,n}/|X_{n,k}| = 0 \forall r \geq 3$  and hence using B.D.McKay's result we can obtain the limiting distribution measure for the eigenvalues of this family of graphs. The measure obtained here is the same as that in Theorem 5.2.

**Remark 3.** *B.D. McKay's paper was published in 1981, whereas Lubotzky, Phillips, Sarnak's paper on Ramanujan Graphs came out in 1988.*

### 5.4.2 Proof using Serre's result

Like in the previous subsection, consider sequence of  $k$ -regular graphs  $X_{n,k}$ 's such that  $|X_{n,k}| \rightarrow \infty$  as  $n \rightarrow \infty$ . Let  $(x_{n,k})$  be the family of eigenvalues of  $X_{n,k}$ . We also have that  $g_{X_{n,k}} \rightarrow \infty$  as  $n \rightarrow \infty$ . So again,  $\lim_{n \rightarrow \infty} C_{r,n} = 0 \forall r > 0$ .

**Lemma 5.4.** *Every closed walk contains a cycle.*

**Proof.** Consider a closed walk  $W$ .

*Case I.* Let  $W$  be of odd length. We'll use induction on length of  $W$  to prove our result.

Base step: length of  $W = 1$  i.e.  $W$  is a loop. A loop is a cycle. So, we're done. Assume our result is true for all closed walks of length  $\leq 2r - 1$ . Let  $W$  be a closed walk of length  $2r + 1$ . If there are no repeating vertices in  $W$ , then  $W$  is a cycle in which case we're done. If there are repeating vertices, consider the first vertex which repeats itself, say  $v_i = v_j$ . Now the circuit can be broken down into two closed walks. The first closed walk starts from the origin of  $W$  and goes till  $v_i$  and comes back to the origin (there's a way to come back to the origin as  $W$  is a closed walk). The other closed walk is the walk from  $v_i$  to  $v_j (= v_i)$ . Now, one of the walks has to be odd length. So by induction,  $W$  contains a cycle.

*Case II.* Let  $W$  be of even length. We'll again use induction on the walk length. Base step is clear (length 2 closed walks are multiple edges). Assume result is true for all closed walks of length  $\leq 2r$ . Now consider a closed walk of length  $2r$ . Split  $W$  into two closed walks as above. Either both of them are of odd length or both are of even length. If both are of odd length, it follows from Case I that both of them contain a cycle. If both of them are of even length, it follows from induction that both the walks contain a cycle. So,  $W$  contains a cycle.

□

Now, a circuit is also a closed walk. So, every circuit contains a cycle. But we have,  $\lim_{n \rightarrow \infty} C_{r,n} = 0 \forall r > 0$ . Let  $c_{r,n}$  be the number of circuits in  $X_{n,k}$ . So,  $\lim_{n \rightarrow \infty} c_{r,n} = 0 \forall r > 0$ . Otherwise, there'll be a circuit of length (say)  $r$  as  $n \rightarrow \infty$ . And it'll contain a cycle which contradicts the fact that  $\lim_{n \rightarrow \infty} C_{r,n} = 0 \forall r > 0$ .

So, we have  $\lim_{n \rightarrow \infty} c_{r,n}/|X_{n,k}| = 0 \forall r > 0$ . Let  $k = q + 1$ . It follows from Theorem 4.1 that  $x_{n,k}$ 's are equidistributed with respect to the measure  $\mu$  given by:

$$\mu = \mu_q + \nu$$

and  $\nu$  is a measure on  $\Omega_q$ , characterised by:

$$\int_{\Omega_q} Y_r(x) \nu(x) dx = 0 \forall r.$$

It follows that  $\nu(x) = 0$ .

$$\begin{aligned} &\implies \mu = \mu_q \\ &\implies \mu(x) = \frac{(q+1)}{\pi[(q^{1/2} + q^{-1/2})^2 - x^2]} \sqrt{1 - \frac{x^2}{4}} dx, \text{ for } |x| \leq 2. \end{aligned}$$

Define  $y = x \cdot \sqrt{q}$ .

$$\implies \frac{dy}{dx} = \sqrt{q} \implies dx = \frac{dy}{\sqrt{q}}$$

$$\begin{aligned} \implies \mu(x)dx &= \frac{k}{\pi \left[ \left( \frac{q+1}{\sqrt{q}} \right)^2 - \left( \frac{y}{\sqrt{q}} \right)^2 \right]} \cdot \sqrt{1 - \frac{y^2}{4q}} \frac{dy}{\sqrt{q}}, \text{ for } |y| \leq 2\sqrt{q} \\ &= \frac{k}{\frac{\pi}{q} [(q+1)^2 - (y)^2]} \cdot \sqrt{1 - \frac{y^2}{4q}} \frac{dy}{\sqrt{q}}, \text{ for } |y| \leq 2\sqrt{q} \\ &= \frac{kq}{\pi [k^2 - (y)^2]} \cdot \sqrt{1 - \frac{y^2}{4q}} \frac{dy}{\sqrt{q}}, \text{ for } |y| \leq 2\sqrt{q} \\ &= \frac{k\sqrt{q}}{\pi [k^2 - (y)^2]} \cdot \sqrt{1 - \frac{y^2}{4q}} dy, \text{ for } |y| \leq 2\sqrt{k-1} \\ &= \frac{\sqrt{q}}{\pi k [1 - (\frac{y}{k})^2]} \cdot \sqrt{1 - \frac{y^2}{4q}} dy, \text{ for } |y| \leq 2\sqrt{k-1} \\ &= \frac{\sqrt{q(1 - \frac{y^2}{4q})}}{\pi k [1 - (\frac{y}{k})^2]} dy, \text{ for } |y| \leq 2\sqrt{k-1} \\ &= \frac{\sqrt{q - \frac{y^2}{4}}}{\pi k [1 - (\frac{y}{k})^2]} dy, \text{ for } |y| \leq 2\sqrt{k-1} \\ &= \frac{\sqrt{k-1 - \frac{y^2}{4}}}{\pi k [1 - (\frac{y}{k})^2]} dy, \text{ for } |y| \leq 2\sqrt{k-1} \end{aligned}$$

which is what we had in Theorem 5.2.

**Remark 4.** *In general, for any sequence of regular graphs  $(X_{n,k})$  such that  $|C_n| \rightarrow \infty$  as  $n \rightarrow \infty$  and  $g_{C_n} \rightarrow \infty$  as  $n \rightarrow \infty$ , the limiting distribution measure of the eigenvalues is given by:*

$$\mu(x) = \mu_q(x) = \begin{cases} \frac{\sqrt{1 - t^2/4}}{k\pi(1 - (t/k)^2)} dt & \text{if } |t| \leq 2\sqrt{k-1} \\ 0 & \text{otherwise.} \end{cases}$$

## 5.5 Other regular graphs: Cycle graph

Consider a family of cycle graphs  $C_n$  (i.e. a cycle on  $n$  vertices). We can see that  $|C_n| \rightarrow \infty$  as  $n \rightarrow \infty$ . Let  $g_{C_n}$  be the girth of  $C_n$ . Clearly,  $g_{C_n} \rightarrow \infty$  as  $n \rightarrow \infty$ . So, the limiting distribution measure of the eigenvalues of  $C_n$ 's is given by (see Remark 3):

$$\mu_k(t) = \begin{cases} \frac{\sqrt{k-1-t^2/4}}{2\pi(1-(t/k)^2)} dt & \text{if } |t| \leq 2\sqrt{k-1} \\ 0 & \text{otherwise.} \end{cases}$$

As  $k = 2$  here,

$$\mu_2(t) = \begin{cases} \frac{1}{\pi\sqrt{4-t^2}} dt & \text{if } |t| \leq 2 \\ 0 & \text{otherwise.} \end{cases}$$

# Chapter 6

## Conclusion

We compute the distribution measure for families of regular graphs for which the girth asymptotically goes to infinity. It would be interesting to determine the error terms for them. In other words, we would like to investigate the discrepancy between the expected and actual number of eigenvalues that lie in an interval (say  $[a, b]$ ), that is,  $|A([a, b], N, (x_n)) - N \int_I \mu|$  (assuming  $\mu$  is the limiting distribution measure for that family).

In this report, we looked at the limiting distribution of the eigenvalues as the number of vertices goes to infinity. We would also like to study the case when the degree of the graph, that is,  $k$  also goes to infinity.



# Bibliography

- [1] N. Bourbaki, *Intégration*, chapter 3, Springer, 2004
- [2] S. Cioaba, M. R. Murty, *A first course in graph theory and combinatorics*, Hindustan Book Agency, 2009.
- [3] L. Kuipers, H. Niederreiter, *Uniform distribution of sequences*, John Wiley and Sons, 1974.
- [4] A. Lubotzky, R. Phillips, P. Sarnak, *Ramanujan graphs*, *Combinatorica* (Vol 8), 1988, 261-277.
- [5] B. D. McKay, *The expected eigenvalue distribution of a large regular graph*, *Linear Algebra and its Applications*, 1981 (Vol 40), 203-216.
- [6] M. R. Murty, K. Sinha, *Effective equidistribution of eigenvalues of Hecke operators*, *Journal of Number Theory*, 2009 (Vol 129), 681-714.
- [7] J.-P. Serre, *Répartition asymptotique des valeurs propres de l'opérateur de Hecke  $T_p$* , *Journal of the American Mathematical Society*, 1997 (Vol 10), 75-102.